

**Guidelines for operationalization of
Cruise Shipping and Ferry Service in Major Ports**

Cruise Shipping:

Keeping in view the unparalleled growth of cruise shipping world-wide, a need for promoting cruise shipping in India has been felt. Since adequate Indian flag ships are not available for this purpose, the Government have decided to allow foreign flag vessels carrying passengers to call at more than one Indian port without obtaining a license from Director General of Shipping under section 407 (1) of the Merchant Shipping Act, 1958 for a period of 10 years vide Ministry of Shipping letter No.SR-11021/1/2009-MG dated 06 February 2009. *(copy of the letter attached).*

For submission of information / documents, plans etc., the promoters are required to follow Shipping Development Circular No.4 of 2003 issued by the Director General of Shipping. *(Copy of the circular attached).*

For cruise services of a causal, intermittent or seasonal nature, the services shall be provided under the relevant rules and charges applicable at the respective ports shall apply.

The passenger ships engaged in cruise shipping and ferry service should fully comply with IMO Safety and Pollution Prevention Convention such as SOLAS, Load Line, MARPOL etc.

All such ships should be classed by one of the full members of the International Association Classification Society (IACS) and preferably all convention certificates must be issued by the same Classification Society. In case, the Safety Certificates are issued by the flag administration of the vessel, all Safety and Structural Plans must be approved by the IACS Class Society.

Ferry Service between India and any other country:

For operationalization of regular ferry service between India and any other country running on a fixed schedule between two identified ports, requiring the guarantee of a berthing window to the prospective operators, a Memorandum of Understanding between the two countries is a pre-requisite.

Thereafter, a competitive bidding process will be followed for selecting the service provider. The bidding process, i.e. issuing bid documents, evaluation and award will be done by the respective port authorities. Where felt necessary, the services of the Shipping Corporation of India Limited, Mumbai may be utilized as Consultant in the matter. Government approval would be required only in case of any policy relaxation, which should be processed before issue of bid documents.

Similarly, for a regular fixed schedule ferry service between two Indian ports to be operated on a long time basis, the service provider will be selected by the port authorities through a competitive bidding process.

Government of India
Ministry of Shipping, Road Transport & Highways
Department of Shipping
(Shipping Wing)

No. SR-11021/1/2009-MG

New Delhi, the 6th February, 2009

To

The Director General,
Directorate General of Shipping,
Jahaz Bhavan, W.H. Marg,
Mumbai-400 001

(Attn: Shri V. Rajendran, DDG)

Subject: Permission to foreign flag ships under Section 407(1) of the Merchant Shipping Act, 1958 - Regarding.

Sir,

I am directed to refer to this Ministry's letter No. SR-13020/97/2003-MA dated 26.12.2003 and DGS letter No. SD-13/POL(6)/2003 dated 28.1.2009 on the subject mentioned above and to say that there is need for promoting cruise shipping in India keeping in view the unparalleled growth of cruise shipping world wide. Since adequate Indian flag ships are not available for this purpose, the Central Government have decided to allow foreign flag vessels carrying passengers to call at more than one Indian Port for a period of 10 years with immediate effect, without obtaining a license from the Director General of Shipping under Section 407(1) of the Merchant Shipping Act, 1958.

2. These directions are issued in pursuance to Section 407(3) of the Merchant Shipping Act, 1958, Director General (S) is, therefore, requested to note for further necessary action and to give wide publicity to this order.

Yours faithfully,

(A.R. Sengupta)
Under Secretary to the Govt. of India
Tel. No. 2371 9031

Shipping Development Circular No. 4 of 2003

F.No.SD-13/POL(5)/2001-1

Dated 13.2.2003

Sub : Guidelines in respect of technical clearance and compliance with statutory safety requirements, rectification of deficiencies etc. by shipowners on ship acquisition from abroad.

Reference is invited to Amendment 1 to Guidelines for Import of all types of ships issued vide [O M No. SD/11018/1/97-MD dated 5th March 2002](#), by Ministry of Shipping. Based on this O.M., this Directorate has already issued guidelines in respect of registration of ships vide [DG Shipping Order No.2 of 2002 dated May 02, 2002](#).

Following guidelines are issued for the purpose of obtaining technical clearance (para 1.1 of the amended O.M.) and for compliance with statutory safety requirements and rectification of deficiencies by the Shipowners (under the provisions of para 1.3 of the amended O.M.):

1. TECHNICAL CLEARANCE

- (i) Although no prior technical clearance is required for acquisition of second-hand vessels below 25 years of age, the owners may, however, apply for technical clearance of such vessels if they so desire on their own.
- (ii) Requirements for the purpose of obtaining technical clearance from the DG (S) are [annexed](#) to this Order.
- (iii) For the new ships to be built for some special trade, if requiring specific exemptions, technical specifications, a copy of the General Arrangement Plan and other relevant information shall be submitted to the DGS for necessary clearance.

2. COMPLIANCE WITH STATUTORY REQUIREMENTS AND RECTIFICATION OF DEFICIENCIES

- (i) The Shipowners should ensure that the vessel to be acquired by them is classed with one of the IACS Member (including Associated Member) Classification Societies and complies with all the IMO / ILO Conventions/Protocol requirements ratified by India and which have already come into force and the Indian rules. In case the vessel is deficient in any respect, the Owners shall take immediate action to rectify the deficiencies or in case it is not possible/economically viable to rectify certain deficiencies, obtain DGS concurrence, in principle, for grant of exemption subsequent to change of flag (acquisition) from relevant rule requirements.
- (ii) The Owners shall ensure that the plans such as Fire Fighting Appliances, Life Saving Appliances, Light and Sound Signals, Crew Accommodation Layout & other associated details to the extent possible, Trim & Stability Booklet and other booklets as relevant for the type of ship being acquired, are submitted for approval to the DG (S) within two months of acquisition of the vessel. Approval of these plans and booklets prior to expiry of five months period after the date of acquisition is essential to enable the Principal Officer, Mercantile Marine Departments (PO, MMDs) to grant full term safety certificates to the vessel. However in case the plans are not likely to be approved before the expiry of interim Safety Certificates due to delays in the DG (S) a letter confirming drawings/ booklets under approval process will be issued by the concerned branch at least two weeks before, so that the concerned MMD will be able to issue full term safety certificates before expiry of interim Certificates.
- (iii) The vessel after acquisition will be put up for inspection by a MMD Surveyor, whenever she calls an Indian port first and deficiencies, if any, pointed out by the Surveyors shall be made good.

REQUIREMENTS TO BE COMPLIED FOR THE PURPOSE OF
OBTAINING TECHNICAL CLEARANCE FROM THE
DIRECTORATE GENERAL OF SHIPPING, MUMBAI

1.0	GENERAL PARTICULARS OF THE VESSEL	
1.1	Name	
1.2	Type (Liner, Bulk Carrier, Tanker, Chemical Tanker, MSV etc.)	
1.3	Call Letter	
1.4	IMO Number	
1.5	Present Flag	
1.6.1	Date of Registry	
1.6.2	Date and Issuing Authority of Tonnage Certificate	
1.7	GRT	
1.8	NRT	
1.9	DWT	on m. draught
1.10	Name and address of the present Owners	

1.11	Name and address of previous owner (if any)	
1.12	Year of built	
1.13	Yard where built with address	
1.14	Present Classification Society	
1.15	Class Notation	
1.16	Built under which Class?	
1.17	History of Class Change (if any) (State names of Classification Societies and period of classification with them)	

2.	STATUS OF CERTIFICATES AND SURVEYS			
2.1- I Certificate	Validity dates		Additional Documents with records and exemption certificates wherever applicable	
	Date of Issue	Date of Validity		
2.1-1	International Load Line Certificate (ILLC)			
2.1-2	International Oil Pollution Prevention Certificate (IOPP)			
2.1-3	Safety Construction Certificate (SAFCON) or Safety Certificate			

2.1-4	Safety Equipment (SEQ)			
2.1-5	Safety Radio Certificate (SRC)			
2.1-6	Safety Management Certificate (SMC)			
2.1-7	<i>Other statutory Certificates as applicable to the type of vessel</i>			
2.1-8				
2.1-9				
2.1-10	Class certificates Certificate of Class – Hull			
2.1-11	Certificate of Class – Machinery			

2.2- II	SURVEY STATUS	Assigned Date	Due Date
2.2-1	Special Survey		
2.2-2	Annual Survey		
2.2-3	Drydocking Survey	Give last two drydocking survey dates	

2.2-4	Tailshaft Survey		
2.2-5	Intermediate Survey <i>(Assigned or due date)</i>		
2.2-6	Major Conditions of Class on the vessel		

3. MACHINERY PARTICULARS OF THE VESSEL			
3.1	Main Propulsion Machinery	Make & Type of Main Engine/ Engines	
		Year & Place of manufacture	
		Engine rating with Consumption	
		Type of fuel	
		Number of Main engines	
3.2	Auxiliary Machinery	Make & type of Aux. Engines	
		Year & Place of manufacture	
		Engine Rating	

		Number of Aux. engines	
3.3	Aux. Engine Generators	Make & Type of Aux. Generator/Generators	
		Year & Place of manufacture	
		Rating	
		Voltage & frequency	
3.4	Boilers	Make & Type of Boilers	
		Year of manufacture of boilers	
		Working Pressure	
		Steam Generation Cap. Per Hour	
3.5	Other Aux. Machineries	Make & Capacity	
3.51	Main Air Compressor		
3.52	Bilge, Ballast and Fire pump/ pumps		
3.53	Evaporators & FW Generators		
3.54	Refrigeration Plant		
3.55	Air Conditioning Plant		

4. CARGO HANDLING EQUIPMENTS

5. DETAILS OF NAVIGATIONAL & COMMUNICATION EQUIPMENTS

5.1 NAVIGATIONAL EQUIPMENT				
S No	ITEM	MAKE	MODEL	REMARKS
5.1.1	Magnetic Compass			
5.1.2	Gyro Compass			
5.1.3	GPS			
5.1.4	Echo Sounder			
5.1.5	Speed Log			
5.1.6	Radar "X - Band"			
5.1.7	Radar "S - Band"			

5.1.8	ARPA			
5.1.9	Rate of Turn Indicator			
5.1.10	Voyage Data Recorder (VDR)			
5.1.11	Automatic Identification System (AIS)			
5.1.12	ECDIS			
5.1.13	AUTO PILOT			
5.1.14	INTEGRATED NAVIGATION SYSTEM			
5.1.15				

5.2 COMMUNICATION EQUIPMENT

(Give Vessels Current plying Area-)

Indian vessels should have Area A3 equipments

S No	ITEM	MAKE	MODEL	ID. NO.	REMARKS
5.2.1	VHF				
5.2.2	VHF + DSC (MAIN)				
5.2.3	VHF + DSC (DUP.)				
5.2.4	2 Way VHF Radio				
5.2.5	EPIRB - 1				

5.2.6	EPIRB - 2				
5.2.7	SART - 1				
5.2.8	SART - 2				
5.2.9	Navtex Receiver				
5.2.10	MF/HF Transceiver + DSC + NBDP				
5.2.11	MF/HF DSC Scanning Receiver				
5.2.12	INMARSAT 'A'				
5.2.13	INMARSAT 'B'				
5.2.14	INMARSAT 'M'				
5.2.15	INMARSAT 'C'				
5.2.16	EGC Receiver				

6. PLANS AND OTHER DOCUMENTS (required to be submitted)

1. General Arrangement indicating crew accommodation clearly. If separate crew accommodation plans are available, a set of same should also be submitted.
2. Firefighting appliances
3. Life saving appliances
4. Lights & Sound Signals
5. Latest report on survey status issued by Class
6. Abstract of inspection of class records for last five years.
(3 years if less than 10 years old)
7. Last two drydocking survey reports
8. Latest non-destructive thickness gauging report of the hull.
9. Copy of approved Trim & Stability Booklet alongwith list of other approved booklets such as Grain Stability, Cargo Securing Manual, Damage Stability, Loading Operation Manual etc. as applicable to the ship.
10. Sub-division computations if passenger ship.
11. Approved copy of the Structural Fire Protection plan, if passenger ship.
12. One set of approved copies of main structural plans.
13. One set of all statutory and Class certificates.

7. CONDITION REPORT

To indicate present condition of	<p>Note</p> <p><i>Report of inspection of the ship by technical representative of the purchaser or from a Surveyor of independent surveying agency / Classification Society. The report shall be based on the inspection of the ship on date not earlier than 6 months from the date of this Application.</i></p>
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1.	Hull (Give details of COCs also if any)	
2.	Machinery (Give details of COCs also if any)	
A.	Main propulsion equipments Survey/maintenance records including total running hours/ breakdown records etc.	
B.	Other Auxiliaries Aux. Engine Survey/maintenance records. Total running hours (also breakdown records if any)	
C.	Boiler. Survey/maintenance records of Boilers. Major repairs carried out to the Boiler, so far.	

D.	Tailshaft with date of last withdrawal, details of shaft seal and propeller attachment	
E.	Rudder pintle clearances as per last survey	

8. OWNER'S REPORT

Tabulated Statement giving details of Non compliance as per Indian Merchant Shipping Act/ IMO Conventions			
Rule/ Convention Reference	Rule / Regulation No.	Deficiency	Owner's proposal for compliance